

## Item 1 Cover Page

A.

**Gregg T. Abella, AIF®**

Investment Partners Asset Management, Inc.

Brochure Supplement  
Dated: February 22, 2022

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

B.

**This Brochure Supplement provides information about Gregg T. Abella that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Gregg T. Abella is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Gregg T. Abella, AIF®, was born in 1969. Mr. Abella serves as Chief Executive Officer of Investment Partners Asset Management, Inc (“IPAM”) and has served as the firm’s Chief Compliance Officer since 2004. After graduating from Bowdoin College in 1992 with degrees in both Economics and Spanish, he began his professional career with Chubb & Son in the International Division of the Surety Credit Department handling Latin America and Europe. Subsequently, he held a number of positions in Chubb and its subsidiaries, ultimately establishing and managing the Guaranty Department for Chubb do Brasil in São Paulo, assisting companies to participate in Latin America’s multi-billion dollar privatization projects. Gregg is currently a board member of Community Informatics, a private corporation, and is currently uncompensated for his role, although that may change in the future. Mr. Abella has earned the Accredited

Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) professional designation from Fiduciary 360 and has received formal training in investment fiduciary responsibility.

Mr. Abella holds the designation Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>). The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. Mr. Abella is a director and shareholder of Community Informatics, Inc. He currently spends approximately 2% of his time in his role and may be compensated for doing so, although to date he has not been.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.

## Item 1 Cover Page

A.

**Frank J. Abella, Jr.**

Investment Partners Asset Management, Inc.

Brochure Supplement  
Dated: February 22, 2022

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

B.

**This Brochure Supplement provides information about Frank J. Abella, Jr. that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Frank J. Abella, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Frank J. Abella, Jr. was born in 1939. Since 1995 Mr. Abella has been a Portfolio Manager at IPAM, and is Executive Chairman of Investment Partners Group, (IPAM's parent company). After graduating from Stevens Institute of Technology in 1961, he began his professional career as a development engineer with the Linde Division of Union Carbide. In 1964 he received a Masters Degree in science from Stevens and left Union Carbide to pursue a graduate degree at the Amos Tuck School of Business at Dartmouth College. Upon graduating in 1966, he returned to Linde in its Multinational Strategic Planning Division. In 1969 he entered the investment community as a portfolio manager. He is a past member of the Board of Emerging Company Marketplace of the American Stock Exchange.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. Mr. Abella spends 3% of his time as a co-founder and Board member of Community Informatics, Inc. and may be compensated for this role, although to date he has not been.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.

## Item 1 Cover Page

A.

### **Frank J. Abella, III (“Jay”)**

Investment Partners Asset Management, Inc.

Brochure Supplement  
Dated: February 22, 2022

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

B.

**This Brochure Supplement provides information about Frank J. Abella, III that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Frank J. Abella, III is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Frank J. Abella, III was born in 1967. Since 1998, Mr. Abella has been the President of Investment Partners Capital & Management (“IPCM”), the research, consulting, and support-services affiliate of IPAM, and since 1999 he has been a Senior Vice President of IPAM. He is also a Vice President of Investment Partners Group. He graduated from Middlebury College in 1989 with a degree in biochemistry. In 1994, he received a Masters Degree in health care administration from the University of North Carolina at Chapel Hill. He has analyzed many mature and development stage companies, especially in the life sciences area, for his entire career - in product development for eight years and in the investment industry for more than 13 years. In addition to his other analytical and asset management responsibilities at Investment Partners Asset Management, Inc.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. Mr. Abella, from time to time, albeit currently infrequently, may publish articles or teach courses on finance for compensation. Additionally he may have other projects that are not securities related for which he may in the future receive compensation. He is a founder of the Wisdom Preserve and is currently uncompensated for his role with the company although that may change in the future.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.

## Item 1 Cover Page

A.

### **Thomas W. Shepherd**

Investment Partners Asset Management, Inc.

Brochure Supplement  
Dated: February 22, 2022

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

B.

**This Brochure Supplement provides information about Thomas W. Shepherd that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Thomas W. Shepherd is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Thomas W. Shepherd was born in 1964. Since 2008, Mr. Shepherd has been a Senior Vice President with Investment Partners Asset Management. After graduating from Vanderbilt University in 1986 with a double major in Math and Spanish, he worked in Costa Rica for Conducen, S.A., a subsidiary of Phelps Dodge. From there, he entered the Middle Market Credit Training Program of Chemical Bank (now JPMorgan Chase Bank) in 1987. During his 20 years at JPMorgan Chase Bank he served as Credit Analyst, Relationship Manager and Underwriter to Middle Market sized clients located in Manhattan, helping to provide a wide range of banking services. He earned his MBA at the NYU Stern School of Business in 2001.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.



## Item 1 Cover Page

A.

### **Brian F. Brown**

Investment Partners Asset Management, Inc.

Brochure Supplement

Dated: February 22, 2022

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer

10 Station Place  
Metuchen, New Jersey 08840

B.

This Brochure Supplement provides information about Brian F. Brown that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did not receive Investment Partners Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brian F. Brown is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Education Background and Business Experience**

Brian F. Brown was born in 1975. He serves as Chief Data Analytics Officer and Operations Manager of IPAM and has served in this role since 2021. Formerly he was the Operations Manager of affiliate Investment Partners Capital & Management. After graduating from Rutgers University in 2001 with a degree in Economics, he began his professional career with the firm's affiliate in 2002.

With a background in engineering and mathematics, he couples investment-industry experience with mathematical principles to design and implement relational databases and business intelligence tools for various portfolio calculations. In addition, Brian also applies his skill sets to developing models for analysis of securities and portfolio metrics.

Brian F. Brown is a director and Chief Data Scientist of Community Informatics, a private corporation, and is currently uncompensated for his role, although that may change in the future.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

A. Mr. Brown is a director and shareholder of Community Informatics, Inc. He currently spends approximately 2% of his time in his role and may be compensated for doing so, although to date he has not been.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.