

## Item 1 Cover Page

A.

**Gregg T. Abella, AIF®**

Investment Partners Asset Management, Inc.

Brochure Supplement

Dated: July 11, 2024

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

B.

**This Brochure Supplement provides information about Gregg T. Abella that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Gregg T. Abella is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Gregg T. Abella, AIF®, was born in 1969. Mr. Abella serves as Chief Executive Officer of Investment Partners Asset Management, Inc (“IPAM”) and has served as the firm’s Chief Compliance Officer since 2004. After graduating from Bowdoin College in 1992 with degrees in both Economics and Spanish, he began his professional career with Chubb & Son in the International Division of the Surety Credit Department handling Latin America and Europe. Subsequently, he held a number of positions in Chubb and its subsidiaries, ultimately establishing and managing the Guaranty Department for Chubb do Brasil in São Paulo, assisting companies to participate in Latin America’s multi-billion dollar privatization projects. Mr. Abella has earned the Accredited Investment Fiduciary® (AIF®) professional designation from Fiduciary 360 and has received formal training in investment fiduciary responsibility.

Mr. Abella holds the designation Accredited Investment Fiduciary® (AIF®). The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360. He is the son of Frank Abella, Jr. (Founder of IPAM) and brother of Frank J. Abella III (Senior VP of IPAM).

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

None.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Gregg T. Abella, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Abella at (732) 205-0391.

## Item 1 Cover Page

A.

**Frank J. Abella, Jr.**

Investment Partners Asset Management, Inc.

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Dated: July 11, 2024

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

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**Additional information about Frank J. Abella, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Frank J. Abella, Jr. was born in 1939. Since 1995 Mr. Abella has been a Portfolio Manager at IPAM, and is Executive Chairman of Investment Partners Group, (IPAM's parent company). After graduating from Stevens Institute of Technology in 1961, he began his professional career as a development engineer with the Linde Division of Union Carbide. In 1964 he received a Masters Degree in science from Stevens and left Union Carbide to pursue a graduate degree at the Amos Tuck School of Business at Dartmouth College. Upon graduating in 1966, he returned to Linde in its Multinational Strategic Planning Division. In 1969 he entered the investment community as a portfolio manager. He is a past member of the Board of Emerging Company Marketplace of the American Stock Exchange. He is the father of Gregg T. Abella (CEO of IPAM) and Frank J. Abella III (Senior VP of IPAM)

**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

None.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

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### **Frank J. Abella, III (“Jay”)**

Investment Partners Asset Management, Inc.

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Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

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**Additional information about Frank J. Abella, III is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Frank J. Abella, III was born in 1967. Since 1998, Mr. Abella has been the President of Investment Partners Capital & Management (“IPCM”), the research, consulting, and support-services affiliate of IPAM, and since 1999 he has been a Senior Vice President of IPAM. He is also a Vice President of Investment Partners Group. He graduated from Middlebury College in 1989 with a degree in biochemistry. In 1994, he received a Masters Degree in health care administration from the University of North Carolina at Chapel Hill. He has analyzed many mature and development stage companies, especially in the life sciences area, for his entire career - in product development for eight years and in the investment industry for more than 25 years. In addition to his other analytical and asset management responsibilities at Investment Partners Asset Management, Inc. He is the son of Frank J. Abella, Jr. (Founder of IPAM) and brother of Gregg T. Abella (CEO of IPAM).

### Item 3 Disciplinary Information

None.

### Item 4 Other Business Activities

- A. Mr. Abella, from time to time, albeit currently infrequently, may publish articles or teach courses on finance for compensation. Additionally he may have other projects that are not securities related for which he may in the future receive compensation. He is a founder of the Wisdom Preserve and is currently uncompensated for his role with the company although that may change in the future.
- B. **Licensed Insurance Agent.** Mr. Abella has recently become a licensed insurance agent and 50% owner of IPCM FA3 LLC (IPCM FA3), a licensed insurance agency. Clients may choose to engage Mr. Abella in his individual capacity as an insurance agent and/or 50% owner of IPCM FA3 to provide life, health, and/or other insurance coverages.
1. **Conflict of Interest.** The recommendation by Mr. Abella that a client purchase an insurance product or service (individually or through his ownership of IPCM FA3) presents a conflict of interest, as the receipt of commissions may provide an incentive to recommend insurance products and services based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance product or services from Mr. Abella, IPCM FA3, or other similar related parties. Clients are reminded that they may purchase insurance products or services recommended by Mr. Abella through other, non-affiliated agents. **The Registrant's Chief Compliance Officer, Gregg T. Abella, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
  2. **Commissions.** In the event a client chooses to purchase insurance products or services through Mr. Abella individually, through his ownership of IPCM FA3 or other similar related party, commissions will be charged to effect insurance transactions, a portion of which will be paid to him and/or to an affiliate of the Registrant. The insurance commissions charged are generally set by the insurance carriers but may be higher or lower than those charged by other carriers and insurance agents. In addition, Mr. Abella individually, through his ownership of IPCM FA3 or other similar related party may also receive additional ongoing trailing commission compensation from the insurance carriers during the period that the client maintains such insurance coverages. The insurance commission business conducted by Mr. Abella individually, through IPCM FA3, or other similar related party is separate and apart from Registrant's investment management services discussed in the Registrant's *Brochure*.

## **Item 5 Additional Compensation**

None.

## **Item 6 Supervision**

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### **Thomas W. Shepherd**

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Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

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**Additional information about Thomas W. Shepherd is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Thomas W. Shepherd was born in 1964. Since 2008, Mr. Shepherd has been a Senior Vice President with Investment Partners Asset Management. After graduating from Vanderbilt University in 1986 with a double major in Math and Spanish, he worked in Costa Rica for Conducen, S.A., a subsidiary of Phelps Dodge. From there, he entered the Middle Market Credit Training Program of Chemical Bank (now JPMorgan Chase Bank) in 1987. During his 20 years at JPMorgan Chase Bank he served as Credit Analyst, Relationship Manager and Underwriter to Middle Market sized clients located in Manhattan, helping to provide a wide range of banking services. He earned his MBA at the NYU Stern School of Business in 2001.



**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

None.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

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### **Brian F. Brown**

Investment Partners Asset Management, Inc.  
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Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer

10 Station Place  
Metuchen, New Jersey 08840

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This Brochure Supplement provides information about Brian F. Brown that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did not receive Investment Partners Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Brian F. Brown is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Education Background and Business Experience**

Brian F. Brown was born in 1975. He serves as Chief Data Analytics Officer and Operations Manager of IPAM and has served in this role since 2021. Formerly he was the Operations Manager of affiliate Investment Partners Capital & Management. After graduating from Rutgers University in 2001 with a degree in Economics, he began his professional career with the firm's affiliate in 2002.

With a background in engineering and mathematics, he couples investment-industry experience with mathematical principles to design and implement relational databases and business intelligence tools for various portfolio calculations. In addition, Brian also applies his skill sets to developing models for analysis of securities and portfolio metrics. He is married to Christina Sharkey (Investment Advisor Representative at IPAM).

**Item 3 Disciplinary Information**

None.

**Item 4 Other Business Activities**

None.

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

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## Item 1 Cover Page

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### **Christina Sharkey, CPA EA**

Investment Partners Asset Management, Inc.

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Dated: July 11, 2024

Contacts: Gregg T. Abella, Chief Compliance Officer  
Thomas Shepherd, Assistant to Chief Compliance Officer  
10 Station Place  
Metuchen, New Jersey 08840

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**This Brochure Supplement provides information about Christina Sharkey that supplements the Investment Partners Asset Management, Inc. Brochure; you should have received a copy of that Brochure. Please contact Gregg T. Abella, Chief Compliance Officer if you did *not* receive Investment Partners Asset Management’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Christina Sharkey is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Christina Sharkey was born in 1978. She joined Investment Partners Asset Management as an Investment Advisor Representative in July of 2024 with a particular focus on planning, estate review, and tax analysis. Ms. Sharkey has a Bachelors of Arts in Political Science from Rutgers University as well as a Masters of Science in Accounting from Seton Hall University. Additionally, she is a Certified Public Accountant and an Enrolled Agent eligible to represent taxpayers before the Internal Revenue Service. Ms. Sharkey has more than 20 years of tax preparation and accounting experience with individuals, families, corporations and partnerships, having worked at Intuit Inc., Mandel Fekete & Bloom CPAs, and more recently her own firm – Calico CPA LLC. She is married to Brian Brown (Chief Data Analytics Officer and Operations Manager of IPAM).

### Item 3 Disciplinary Information

None.

### Item 4 Other Business Activities

A. Ms. Sharkey's is the founder and owner of Calico CPA LLC, and her primary activity and compensation is derived from her accounting and tax practice (which is not securities-related, nor is it affiliated with IPAM).

1. **Conflict of Interest.** To the degree Ms. Sharkey (or any other advisor at IPAM) recommends that an advisory client become Ms. Sharkey's tax or accounting client presents a conflict of interest because, among other reasons, the accounting fees charged by Ms. Sharkey or her firm, Calico CPA LLC, may be higher or lower than those charged by other tax or accounting practitioners. No advisory client is under any obligation to have his or her tax preparation or accounting work performed by Ms. Sharkey, her firm, or other similar parties. Clients are reminded that they may have tax, accounting or any other services recommended by Ms. Sharkey (or any other advisor at IPAM) through other outside entities or individuals. **The Registrant's Chief Compliance Officer, Gregg T. Abella, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

### Item 5 Additional Compensation

None.

### Item 6 Supervision

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